



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2874 #3  
DL  
12/13/02

Applicant:	CARON, et al.	Examiner:	Unknown
Serial No.:	10/092,164	Group Art Unit:	2874
Filed:	March 5, 2002	Docket:	9680.197US01
Confirmation No.:	9227	Notice of Allow. Date:	n/a
Due Date:	n/a		
Title:	MICROPOROUS GLASS WAVEGUIDES DOPED WITH SELECTED MATERIALS		

RECEIVED  
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CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: , Commissioner for Patents, Washington, D.C. 20231 on December 2, 2002.

By: Peggy Rerkhove  
Name: Peggy Rerkhove

Commissioner for Patents  
Washington, D.C. 20231

Sir:

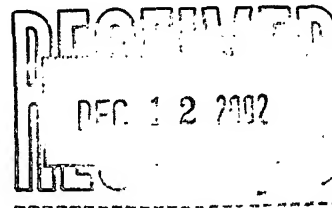
We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Supplemental Information Disclosure Statement, Form 1449, 6 Reference(s)
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: Gregory A. Sebal  
Name: Gregory A. Sebal  
Reg. No.: 33,280  
GAS/pjk





S/N 10/092,164


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By:   
Name: Peggy Kerkhove

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked

as being considered and initialed by the Examiner, to the undersigned with the next official communication.


Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
(612)332.5300

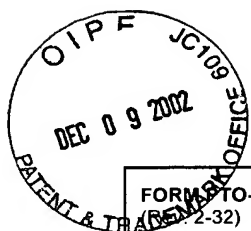
Dated: December 2, 2002

By:

  
Gregory A. Sebald  
Reg. No.: 33,280

GAS/pjk





<b>FORM TO-1449</b> U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. <b>09680.0197US01</b>	SERIAL NO. <b>10/092,164</b>
	APPLICANT <b>Serge CARON et al.</b>	
	FILING DATE <b>March 5, 2002</b>	GROUP <b>2874</b>

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3 9 3 8 9 7 4	02-1976	Macedo et al.	65	3 R	
		5 5 8 5 6 4 0	12-1996	Huston et al.	250	483.1	
		6 0 7 5 9 1 5	06-2000	Koops et al.	385	125	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	EP	0 7 6 2 1 7 9	1997	EUROPE				
	WO	0 1 9 6 9 1 9	2001	INTERNATIONAL				

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

		"ELECTRICALLY TUNABLE EFFICIENT BROAD-BAND FIBER FILTER"; by Anatoli A. Abramov, Benjamin J. Eggleton, John A. Rogers, Rolando P. Espindola, Arturo Hale, Robert S. Windeler and Thomas A. Strasser; IEEE Electronics Technology Letters, vol. 11, no. 4, April 1999; pg 445-447.
EXAMINER	DATE CONSIDERED	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		